# Response to Missing Requirements Under 35 USC § 371

Attorney Docket No.: FLGDK21.008APC

First Named Inventor: Heller et al. Int'l Application No.: PCT/EP04/52828

US Application No.: 10/578,796 Entered National Phase: May 4, 2006 Title: COATING OF SUBSTRATES

Direct all correspondence to Customer No.: 20995

Date: April 10, 2007

Page 1 of 1

### Mail Stop PCT

United States Patent and Trademark Office PO Box 1450 Alexandria, VA 22313-1450

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated January 11, 2007.
- (X) An Oath or Declaration signed by the inventors (35 USC 371(c)(4)) in 3 pages.
- (X) Power of attorney form and copy of assignment in 4 pages.
- (X) English translation of International Preliminary Report on Patentability

#### FILING FEES NOT YET PAID:

FEE CALCULATION						
FEE TYPE		LARGE FEE	CALCULATION	TOTAL		
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130		
Excess Claims	22 - 22 = 0	1615 (\$50)	0 x 50 =	\$0		
Excess Ind. Claims	1 - 3 = 0	1614 (\$200)	0 x 200 =	\$0		
Multiple Claim	37 CFR § 1.492(f)	1616 (\$360)		\$0		
1 Month Extension	37 CFR § 1.17(a)(1)	1251 (\$120)	•	\$120		
			SUB TOTAL \$250 TOTAL FEE DUE \$250			

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

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11/07/2003



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/578,796 Jorg Heller STERN21.008APC
INTERNATIONAL APPLICATION NO.
PCT/EP04/52828

0995

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614

CONFIRMATION NO. 8718
371 FORMALITIES LETTER

11/05/2004

\*OC000000021937200\*

Date Mailed: 01/11/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/04/2006
- Copy of the International Search Report filed on 05/04/2006
- Copy of IPE Report filed on 05/04/2006
- Preliminary Amendments filed on 05/04/2006
- Information Disclosure Statements filed on 05/04/2006
- Biochemical Sequence Diskette filed on 05/04/2006
- U.S. Basic National Fees filed on 05/04/2006
- Priority Documents filed on 05/04/2006
- Specification filed on 05/04/2006
- Claims filed on 05/04/2006
- Abstracts filed on 05/04/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$100 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$230 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 100
  - \$100 for 2 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

### FREDERICK SMITH

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### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/578,796	PCT/EP04/52828	STERN21.008APC

FORM PCT/DO/EO/905 (371 Formalities Notice)